



Las Vegas and Monte Carlo

- Named after famous casinos
- Las Vegas:
 - always returns correct answer
 - running time fast with a high probability
 - “everyone wins in Vegas”
- Monte Carlo:
 - always runs fast
 - returns correct answer with a high probability



Primality Test

- Fermat's Little Theorem:
 - If n is prime, then for all a in $[1, n-1]$,
 - $a^n - a = 0 \pmod{n}$
 - E.g. $4^3 - 4 = 60$ is divisible by 3
- First attempt at algorithm:
 1. Choose a at random from $[2, n-1]$
 2. If $a^n - a = 0 \pmod{n}$, then return COMPOSITE
 3. Else return PRIME

Primality Test

- However, there are exceptions to Fermat's Little Theorem, called the **Carmichael numbers**
- The previous algorithm returns PRIME for the Carmichael numbers
- A modification to the algorithm yields the **Miller-Rabin test**, which bounds the probability of running into a Carmichael number at 0.5

Miller-Rabin – Proof of Bound

If n is an odd composite number, then the number of witnesses to the compositeness of n is at least $(n-1)/2$.

Proof: The proof shows that the number of nonwitnesses is at most $(n-1)/2$, which implies the theorem.

We start by claiming that any nonwitness must be a member of K . Why? Consider any nonwitness a . It must satisfy $a^{n-1} \not\equiv 1 \pmod{n}$ or, equivalently, $a^{n-1} \not\equiv 1 \pmod{\phi(n)}$. This, then, is a solution to the equation $ax \equiv 1 \pmod{\phi(n)}$, namely $a^{\phi(n)}$. By [Corollary 11.13](#), $\text{gcd}(a, n) = 1$, which in turn implies that $\text{gcd}(a, \phi(n)) = 1$. Therefore, a is a member of K ; all nonwitnesses belong to K .

To complete the proof, we show that not only all nonwitnesses contained in K , they are all contained in a proper subgroup H of K (recall that we say H is a proper subgroup of K when H is a subgroup of K but H is not equal to K). By [Corollary 11.16](#), we then know $|H| \leq \phi(n)/2$. Since $|K| = \phi(n)$, we obtain $|H| \leq (n-1)/2$. Therefore, the number of nonwitnesses is at most $(n-1)/2$, so that the number of witnesses must be at least $(n-1)/2$.

We now show how to find a proper subgroup H of K containing all of the nonwitnesses. We break the proof into two cases.

Case 1: There exists an $a \in K$ such that $a^{n/2} \not\equiv \pm 1 \pmod{n}$.

In other words, n is not a Carmichael number. Because, as we noted earlier, Carmichael numbers are extremely rare, case 1 is the main case that arises "in practice" (e.g., where n has been chosen randomly and is being tested for primality).

Let $H = \langle a \rangle \subseteq K$. Clearly, H is nonempty, since $1 \in H$. Since H is closed under multiplication modulo n , we have that H is a subgroup of K by [Theorem 11.12](#). Note that every nonwitness belongs to H , since a nonwitness a satisfies $a^{n/2} \not\equiv \pm 1 \pmod{n}$. Since $|K| = \phi(n)$, we have that H is a proper subgroup of K .

Case 2: For all $a \in K$, $a^{n/2} \equiv \pm 1 \pmod{n}$.

In other words, n is a Carmichael number. This case is extremely rare in practice. However, the Miller-Rabin test (and its pseudo-primality test) can efficiently determine the compositeness of Carmichael numbers, as we now show.

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Primality Test

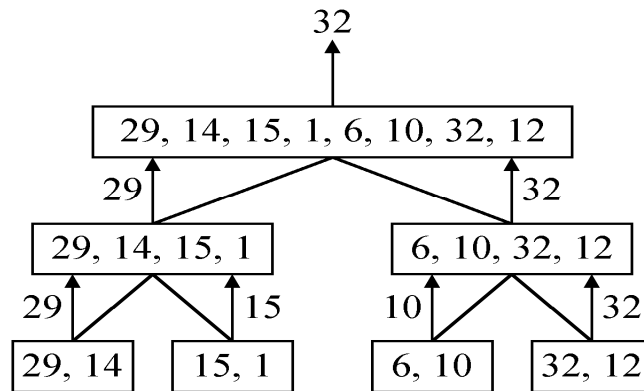
- Algorithm: is n prime?
 - **NO** – if Miller-Rabin returns COMPOSITE
 - **YES** – if Miller-Rabin runs k times without returning COMPOSITE



The Divide-and-Conquer Strategy

A simple example

- finding the maximum of a set S of n numbers



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Time complexity

- Time complexity:

$T(n)$: # of comparisons

$$T(n) = \begin{cases} 2T(n/2) + 1, & n > 2 \\ 1, & n \leq 2 \end{cases}$$

- Calculation of $T(n)$:

Assume $n = 2^k$,

$$\begin{aligned} T(n) &= 2T(n/2) + 1 \\ &= 2(2T(n/4) + 1) + 1 \\ &= 4T(n/4) + 2 + 1 \\ &\quad \vdots \\ &= 2^{k-1}T(2) + 2^{k-2} + \dots + 4 + 2 + 1 \\ &= 2^{k-1} + 2^{k-2} + \dots + 4 + 2 + 1 \\ &= 2^k - 1 = n - 1 \end{aligned}$$

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A general divide-and-conquer algorithm

Step 1: If the problem size is small, solve this problem directly; otherwise, split the original problem into 2 sub-problems with equal sizes.

Step 2: Recursively solve these 2 sub-problems by applying this algorithm.

Step 3: Merge the solutions of the 2 sub-problems into a solution of the original problem.

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Time complexity of the general algorithm

- Time complexity:

$$T(n) = \begin{cases} 2T(n/2) + S(n) + M(n) & , n \geq c \\ b & , n < c \end{cases}$$

where $S(n)$: time for splitting

$M(n)$: time for merging

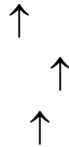
b : a constant

c : a constant

4-12

Binary search

- e.g. 2 4 5 6 7 8 9



search 7: needs 3 comparisons

- time: $O(\log n)$
- The binary search can be used only if the elements are sorted and stored in an array.

4-13

Algorithm binary-search

Input: A sorted sequence of n elements stored in an array.

Output: The position of x (to be searched).

Step 1: If only one element remains in the array, solve it directly.

Step 2: Compare x with the middle element of the array.

Step 2.1: If $x =$ middle element, then output it and stop.

Step 2.2: If $x <$ middle element, then recursively solve the problem with x and the left half array.

Step 2.3: If $x >$ middle element, then recursively solve the problem with x and the right half array.

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Algorithm BinSearch(a, low, high, x)

```

// a[]: sorted sequence in nondecreasing order
// low, high: the bounds for searching in a []
// x: the element to be searched
// If x = a[j], for some j, then return j else return -1
if (low > high) then return -1    // invalid range
if (low = high) then             // if small P
  if (x == a[i]) then return i
  else return -1
else // divide P into two smaller subproblems
  mid = (low + high) / 2
  if (x == a[mid]) then return mid
  else if (x < a[mid]) then
    return BinSearch(a, low, mid-1, x)
  else return BinSearch(a, mid+1, high, x)

```

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Quick Sort

- Small instance has $n \leq 1$. Every small instance is a sorted instance.
- To sort a large instance, select a pivot element from out of the n elements.
- Partition the n elements into 3 groups left, middle and right.
- The middle group contains only the pivot element.
- All elements in the left group are \leq pivot.
- All elements in the right group are \geq pivot.
- Sort left and right groups recursively.
- Answer is sorted left group, followed by middle group followed by sorted right group.

Example

6	2	8	5	11	10	4	1	9	7	3
---	---	---	---	----	----	---	---	---	---	---

Use 6 as the pivot.

2	5	4	1	3	6	7	9	10	11	8
---	---	---	---	---	---	---	---	----	----	---

Sort left and right groups recursively.

Choice Of Pivot

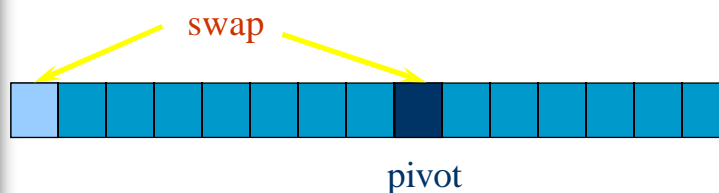
- Pivot is leftmost element in list that is to be sorted.
 - When sorting $a[6:20]$, use $a[6]$ as the pivot.
 - Text implementation does this.
- Randomly select one of the elements to be sorted as the pivot.
 - When sorting $a[6:20]$, generate a random number r in the range $[6, 20]$. Use $a[r]$ as the pivot.

Choice Of Pivot

- Median-of-Three rule. From the leftmost, middle, and rightmost elements of the list to be sorted, select the one with median key as the pivot.
 - When sorting $a[6:20]$, examine $a[6]$, $a[13]$ ($(6+20)/2$), and $a[20]$. Select the element with median (i.e., middle) key.
 - If $a[6].key = 30$, $a[13].key = 2$, and $a[20].key = 10$, $a[20]$ becomes the pivot.
 - If $a[6].key = 3$, $a[13].key = 2$, and $a[20].key = 10$, $a[6]$ becomes the pivot.

Choice Of Pivot

- If $a[6].key = 30$, $a[13].key = 25$, and $a[20].key = 10$, $a[13]$ becomes the pivot.
- When the pivot is picked at random or when the median-of-three rule is used, we can use the quick sort code of the text provided we first swap the leftmost element and the chosen pivot.



Partitioning Into Three Groups

- Sort $a = [6, 2, 8, 5, 11, 10, 4, 1, 9, 7, 3]$.
- Leftmost element (6) is the pivot.
- When another array b is available:
 - Scan a from left to right (omit the pivot in this scan), placing elements \leq pivot at the left end of b and the remaining elements at the right end of b .
 - The pivot is placed at the remaining position of the b .

Quicksort

- Sort into nondecreasing order

```
[ 26  5  37  1  61  11  59  15  48  19]
[ 26  5  19  1  61  11  59  15  48  37]
[ 26  5  19  1  15  11  59  61  48  37]
[ 11  5  19  1  15] 26 [ 59  61  48  37]
[ 11  5  1  19  15] 26 [ 59  61  48  37]
[ 1  5] 11 [ 19  15] 26 [ 59  61  48  37]
 1  5 11 15 19 26 [ 59  61  48  37]
 1  5 11 15 19 26 [ 59  37  48  61]
 1  5 11 15 19 26 [ 48  37] 59 [ 61]
 1  5 11 15 19 26 37 48 59 61
```

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Algorithm Quicksort

Input: A set S of n elements.

Output: The sorted sequence of the inputs in nondecreasing order.

Step 1: If $|S| \leq 2$, solve it directly.

Step 2: (Partition step) Use a pivot to scan all elements in S . Put the smaller elements in S_1 , and the larger elements in S_2 .

Step 3: Recursively solve S_1 and S_2 .

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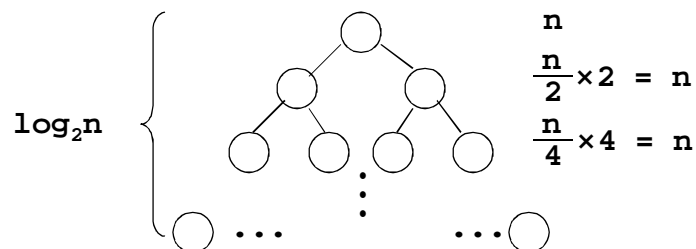
Time complexity of Quicksort

■ time in the worst case:

$$(n-1) + (n-2) + \dots + 1 = \frac{n(n-1)}{2} = O(n^2)$$

■ time in the best case:

In each partition, the problem is always divided into two subproblems with almost equal size.



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Time complexity of the best case

- $T(n)$: time required for sorting n elements
- $T(n) \leq cn + 2T(n/2)$, for some constant c .
 - $\leq cn + 2(c \cdot n/2 + 2T(n/4))$
 - $\leq 2cn + 4T(n/4)$
 - ...
 - $\leq cn \log_2 n + nT(1) = O(n \log n)$

4-25

The Greedy Method

3-26

The knapsack problem

- n objects, each with a weight $w_i > 0$
a profit $p_i > 0$

capacity of knapsack: M

$$\text{Maximize } \sum_{1 \leq i \leq n} p_i x_i$$

$$\text{Subject to } \sum_{1 \leq i \leq n} w_i x_i \leq M$$

Subject to

$$0 \leq x_i \leq 1, 1 \leq i \leq n$$

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The knapsack algorithm

- The greedy algorithm:

Step 1: Sort p_i/w_i into nonincreasing order.

Step 2: Put the objects into the knapsack according to the sorted sequence as possible as we can.

e. g.

$$n = 3, M = 20, (p_1, p_2, p_3) = (25, 24, 15)$$

$$(w_1, w_2, w_3) = (18, 15, 10)$$

$$\text{Sol: } p_1/w_1 = 25/18 = 1.39$$

$$p_2/w_2 = 24/15 = 1.6$$

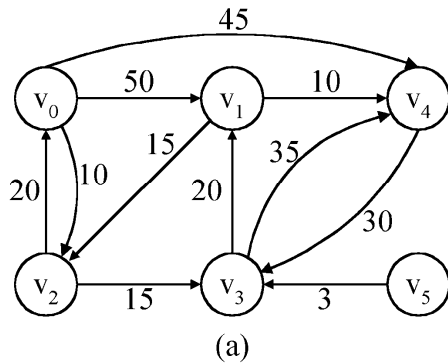
$$p_3/w_3 = 15/10 = 1.5$$

$$\text{Optimal solution: } x_1 = 0, x_2 = 1, x_3 = 1/2$$

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The single-source shortest path problem

- shortest paths from v_0 to all destinations



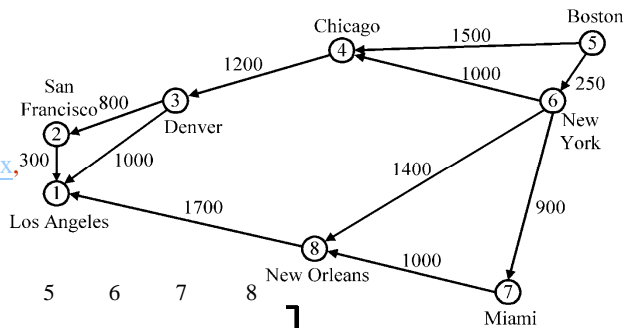
	Path	Length
1)	v_0v_2	10
2)	$v_0v_2v_3$	25
3)	$v_0v_2v_3v_1$	45
4)	v_0v_4	45

(b)

3-29

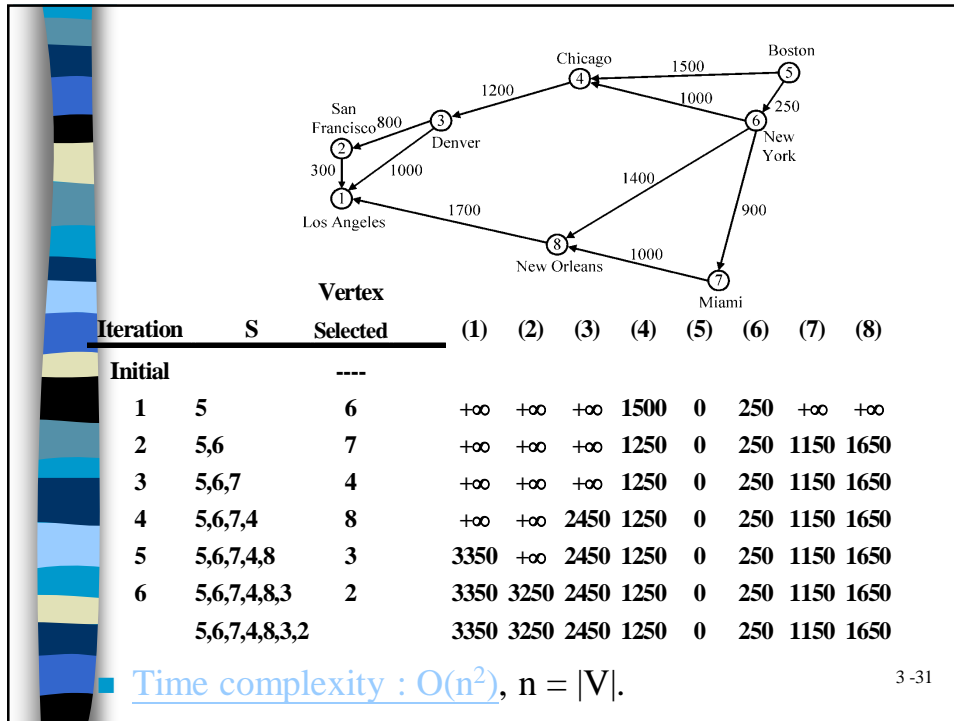
Dijkstra's algorithm

In the cost adjacency matrix, all entries not shown are $+\infty$



1	0							
2	300	0						
3	1000	800	0					
4			1200	0				
5				1500	0	250		
6				1000		0	900	1400
7							0	1000
8								0

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Data Structure for SSAD

```

#define MAX_VERTICES 6
int cost[][MAX_VERTICES]= adjacency matrix
{};
int distance[MAX_VERTICES];
short int found{MAX_VERTICES};
int n = MAX_VERTICES;
    
```

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shortest()

```
Void shortestpath(int v, int cost[][MAX_VERTICES], int dist [], int n, int found[])
```

```
{
    int i,u,w;
    for (i=0;i<n;i++) {
        found[i]=FALSE;
        dist [i] = cost[v][i];
    }
    found[v]=TRUE;
    dist [v]=0;
    for(i=0;i<n-2;i++){
        u=choose(dist,n,found);
        found[u]=TRUE;
        for(w=0;w<n;w++){
            if(dist [u]+cost[u][w] < dist [w])
                O(n2)    dist [w] = dist [u]+cost[u][w];
        }
    }
}
```

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Dynamic programming algorithms for all-pairs shortest path

We will study a new technique—dynamic programming algorithms (typically for optimization problems)

Ideas:

- Characterize the structure of an optimal solution
- Recursively define the value of an optimal solution
- Compute the value of an optimal solution in a bottom-up fashion (using matrix to compute)
- Backtracking to construct an optimal solution from computed information.

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Floyd-Warshall algorithm for shortest path:

- Use a different dynamic-programming formulation to solve the all-pairs shortest-paths problem on a directed graph $G=(V,E)$.
- The resulting algorithm, known as the **Floyd-Warshall algorithm**, runs in $O(V^3)$ time.
 - negative-weight edges may be present,
 - but we shall assume that there are no negative-weight cycles.

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The structure of a shortest path:

- We use a different characterization of the structure of a shortest path than we used in the matrix-multiplication-based all-pairs algorithms.
- The algorithm considers the “intermediate” vertices of a shortest path, where an intermediate vertex of a simple path $p=\langle v_1, v_2, \dots, v_l \rangle$ is any vertex in p other than v_1 or v_l , that is, any vertex in the set $\{v_2, v_3, \dots, v_{l-1}\}$

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Continue:

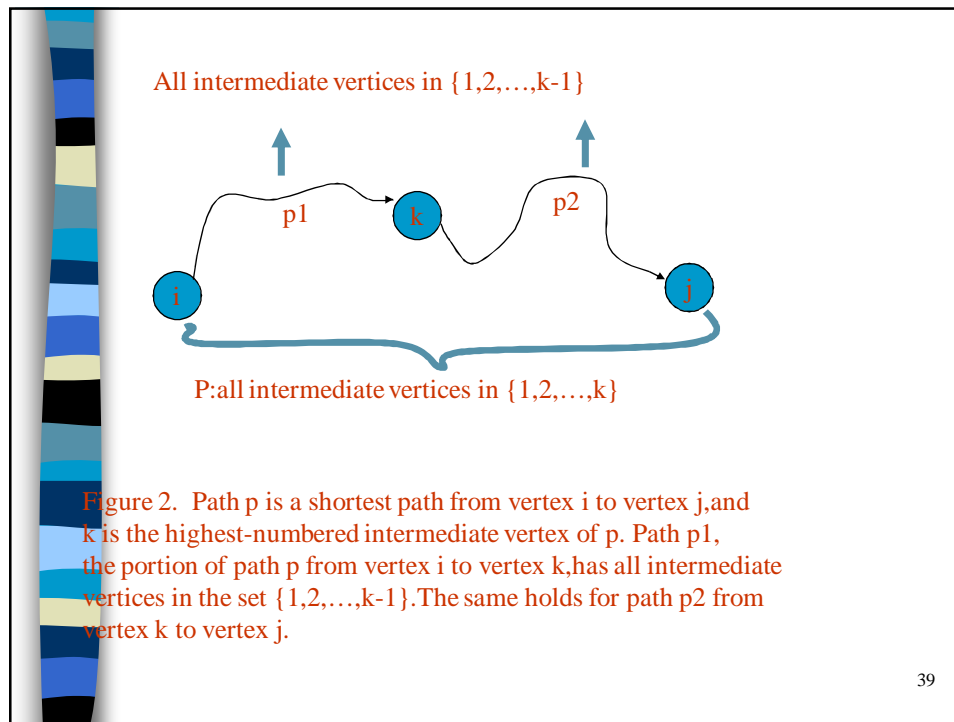
- Let the vertices of G be $V=\{1,2,\dots,n\}$, and consider a subset $\{1,2,\dots,k\}$ of vertices for some k .
- For any pair of vertices $i,j \in V$, consider all paths from i to j whose intermediate vertices are all drawn from $\{1,2,\dots,k\}$, and let p be a minimum-weight path from among them.
- The Floyd-Warshall algorithm exploits a relationship between path p and shortest paths from i to j with all intermediate vertices in the set $\{1,2,\dots,k-1\}$.

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Relationship:

- The relationship depends on whether or not k is an intermediate vertex of path p .
- If k is not an intermediate vertex of path p , then all intermediate vertices of path p are in the set $\{1,2,\dots,k-1\}$. Thus, a shortest path from vertex i to vertex j with all intermediate vertices in the set $\{1,2,\dots,k-1\}$ is also a shortest path from i to j with all intermediate vertices in the set $\{1,2,\dots,k\}$.
- If k is an intermediate vertex of path p , then we break p down into $i \xrightarrow{p^1} k \xrightarrow{p^2} j$ as shown Figure 2. p^1 is a shortest path from i to k with all intermediate vertices in the set $\{1,2,\dots,k-1\}$, so as p^2 .

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A recursive solution to the all-pairs shortest paths problem:

- Let $d_{ij}^{(k)}$ be the weight of a shortest path from vertex i to vertex j with all intermediate vertices in the set $\{1, 2, \dots, k\}$. A recursive definition is given by
- $$d_{ij}^{(k)} = \begin{cases} w_{ij} & \text{if } k=0, \\ \min(d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)}) & \text{if } k \geq 1. \end{cases}$$
- The matrix $D^{(n)} = (d_{ij}^{(n)})$ gives the final answer- $d_{ij}^{(n)} = \delta(i, j)$ for all $i, j \in V$ -because all intermediate vertices are in the set $\{1, 2, \dots, n\}$.

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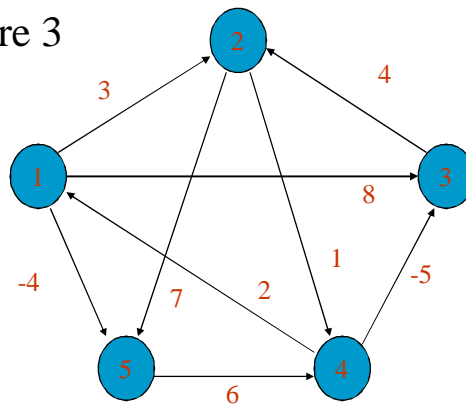
Computing the shortest-path weights bottom up:

- FLOYD-WARSHALL(W)
- $n \leftarrow \text{rows}[W]$
- $D^{(0)} \leftarrow W$
- for $k \leftarrow 1$ to n
- do for $i \leftarrow 1$ to n
- do for $j \leftarrow 1$ to n
- $d_{ij}^{(k)} \leftarrow \min(d_{ij}^{(k-1)}, d_{ik}^{(k-1)} + d_{kj}^{(k-1)})$
- return $D^{(n)}$


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Example:

Figure 3



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$D(0) = \begin{pmatrix} 0 & 3 & 8 & \infty & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & \infty & \infty \\ 2 & \infty & -5 & 0 & \infty \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix}$

$\Pi(0) = \begin{pmatrix} NIL & 1 & 1 & NIL & 1 \\ NIL & NIL & NIL & 2 & 2 \\ NIL & 3 & NIL & NIL & NIL \\ 4 & NIL & 4 & NIL & NIL \\ NIL & NIL & NIL & 5 & NIL \end{pmatrix}$

$D(1) = \begin{pmatrix} 0 & 3 & 8 & \infty & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & \infty & \infty \\ 2 & 5 & -5 & 0 & -2 \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix}$

$\Pi(1) = \begin{pmatrix} NIL & 1 & 1 & NIL & 1 \\ NIL & NIL & NIL & 2 & 2 \\ NIL & 3 & NIL & NIL & NIL \\ 4 & 1 & 4 & NIL & 1 \\ NIL & NIL & NIL & 5 & NIL \end{pmatrix}$

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$D(2) = \begin{pmatrix} 0 & 3 & 8 & 4 & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & 5 & 11 \\ 2 & 5 & -5 & 0 & -2 \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix}$

$\Pi(2) = \begin{pmatrix} NIL & 1 & 1 & 2 & 1 \\ NIL & NIL & NIL & 2 & 2 \\ NIL & 3 & NIL & 2 & 2 \\ 4 & 1 & 4 & NIL & 1 \\ NIL & NIL & NIL & 5 & NIL \end{pmatrix}$

$D(3) = \begin{pmatrix} 0 & 3 & 8 & 4 & -4 \\ \infty & 0 & \infty & 1 & 7 \\ \infty & 4 & 0 & 5 & 11 \\ 2 & -1 & -5 & 0 & -2 \\ \infty & \infty & \infty & 6 & 0 \end{pmatrix}$

$\Pi(3) = \begin{pmatrix} NIL & 1 & 1 & 2 & 1 \\ NIL & NIL & NIL & 2 & 2 \\ NIL & 3 & NIL & 2 & 2 \\ 4 & 3 & 4 & NIL & 1 \\ NIL & NIL & NIL & 5 & NIL \end{pmatrix}$

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$$D^{(4)} = \begin{pmatrix} 0 & 3 & -1 & 4 & -4 \\ 3 & 0 & -4 & 1 & -1 \\ 7 & 4 & 0 & 5 & 3 \\ 2 & -1 & -5 & 0 & -2 \\ 8 & 5 & 1 & 6 & 0 \end{pmatrix} \quad \Pi^{(4)} = \begin{pmatrix} \text{NIL} & 1 & 4 & 2 & 1 \\ 4 & \text{NIL} & 4 & 2 & 1 \\ 4 & 3 & \text{NIL} & 2 & 1 \\ 4 & 3 & 4 & \text{NIL} & 1 \\ 4 & 3 & 4 & 5 & \text{NIL} \end{pmatrix}$$

$$D^{(5)} = \begin{pmatrix} 0 & 1 & -3 & 2 & -4 \\ 3 & 0 & -4 & 1 & -1 \\ 7 & 4 & 0 & 5 & 3 \\ 2 & -1 & -5 & 0 & -2 \\ 8 & 5 & 1 & 6 & 0 \end{pmatrix} \quad \Pi^{(5)} = \begin{pmatrix} \text{NIL} & 3 & 4 & 5 & 1 \\ 4 & \text{NIL} & 4 & 2 & 1 \\ 4 & 3 & \text{NIL} & 2 & 1 \\ 4 & 3 & 4 & \text{NIL} & 1 \\ 4 & 3 & 4 & 5 & \text{NIL} \end{pmatrix}$$

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